

01/24/01

JC971 U.S. PTO

01-2501

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PTO/SB/05 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. SF01349

First Inventor Vicari et al.

Title Chemokines as Adjuvants of Immune Response

Express Mail Label No. EI403238055US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 43]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 13]
5. Oath or Declaration [Total Pages     ]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: Declaration unexecuted 3 pgs  
Applic. Cover Sheet 1 pg.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

of prior application No.: EP 0 974 357

Prior application information:

Examiner

Group I Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

24265

or ☐ Correspondence address below

Name	Christine F. Martin Reg. No. 39762				
Address					
City		State		Zip Code	
Country		Telephone	(908) 298-2591	Fax	(908) 298-5388

Name (Print/Type) Christine F. Martin Registration No. (Attorney/Agent) 39762

Signature Christine F. Martin Date January 24, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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Date	January 24, 2001

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Compleat If Known

Application Number	
Filing Date	January 24, 2001
First Named Inventor	Vicari et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	SF01349

TOTAL AMOUNT OF PAYMENT (\$ ) 1698.

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 19-0365

Deposit Account Name: Schering-Plough Corporation

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
101	201	710	Utility filing fee	710
106	206	320	Design filing fee	
107	207	490	Plant filing fee	
108	208	710	Reissue filing fee	
114	214	150	Provisional filing fee	

SUBTOTAL (1) (\$ ) 710

2. EXTRA CLAIM FEES

Total Claims: 66 - 20\*\* = 46 x 18 = 828

Independent Claims: 5 - 3\*\* = 2 x 80 = 160

Multiple Dependent: ☐ = ☐

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
103	203	18	Claims in excess of 20	
102	202	80	Independent claims in excess of 3	
104	204	270	Multiple dependent claim, if not paid	
109	209	80	** Reissue independent claims over original patent	
110	210	18	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ ) 988

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	65 Surcharge - late filing fee or oath	
127	227	50	25 Surcharge - late provisional filing fee or cover sheet	
139	239	130	130 Non-English specification	
147	247	2,520	2,520 For filing a request for ex parte reexamination	
112	212	920*	920* Requesting publication of SIR prior to Examiner action	
113	213	1,840*	1,840* Requesting publication of SIR after Examiner action	
115	215	110	55 Extension for reply within first month	
116	216	390	195 Extension for reply within second month	
117	217	890	445 Extension for reply within third month	
118	218	1,390	695 Extension for reply within fourth month	
128	228	1,890	945 Extension for reply within fifth month	
119	219	310	155 Notice of Appeal	
120	220	310	155 Filing a brief in support of an appeal	
121	221	270	135 Request for oral hearing	
138	238	1,510	1,510 Petition to institute a public use proceeding	
140	240	110	55 Petition to revive - unavoidable	
141	241	1,240	620 Petition to revive - unintentional	
142	242	1,240	620 Utility issue fee (or reissue)	
143	243	440	220 Design issue fee	
144	244	600	300 Plant issue fee	
122	222	130	130 Petitions to the Commissioner	
123	223	50	50 Petitions related to provisional applications	
126	226	240	240 Submission of Information Disclosure Stmt	
581	281	40	40 Recording each patent assignment per property (times number of properties)	
146	246	710	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	710	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	710	355 Request for Continued Examination (RCE)	
169	269	900	900 Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

## SUBMITTED BY

Name (Print/Type)	Christine F. Martin	Registration No. (Attorney/Agent)	39762	Telephone	(908) 298-2591
Signature	Christine F. Martin	Date	January 24, 2001		

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 1/24/01

Typed or printed name	Christine F. Martin	Date	1/24/01
Signature	Christine F. Martin		